

# Algorithm 463

## Algorithms SCALE1, SCALE2, and SCALE3 for Determination of Scales on Computer Generated Plots [J6]

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### Description

*Introduction.* It is often desirable to plot computer generated output or obtain discrete distribution functions such as histograms automatically. In general the raw data does not lend itself directly to an easily readable presentation. The three related algorithms as presented here obtain readable linear or logarithmic scales with uniform interval sizes for users of various plot routines.

*Readability.* A readable linear scale is defined here as a scale with interval size a product of an integer power of 10 and 1, 2 or 5, and scale values integer multiples of the interval size.

A readable logarithmic scale on a display with uniform plotting intervals is defined here such that the ratio of adjoining scale values  $DIST = 10^{(1/L+K)}$ , where  $K$  and  $L$  are integers, with  $1 \leq L \leq 10$ ; scale values are equal to  $DIST^M$ , where  $M$  is a set of successive integers.

The definition of readability used for *SCALE 1* and *SCALE 2* permits scale values such as:

-0.5, 0.0, 0.5, 1.0, . . .  
1.24, 1.26, 1.28, . . .  
100.0, 200.0, 300.0, . . . , etc.

It prohibits the following examples:

-1.0, 4.0, 9.0, . . .  
1.2, 1.31, 1.42, . . .  
0.0, 4.0, 8.0, 12.0, . . . , etc.

The definition of readability for logarithmic plots would permit scale values of 1,  $\sqrt[3]{10}$ ,  $(\sqrt[3]{10})^2$ , 10, . . . , but disallow 1,  $\sqrt{5}$ , 5,  $5\sqrt{5}$ , 25, . . . .

*Usage.* A call of the form

*CALL SCALE1 (XMIN, XMAX, N, XMINP, XMAXP, DIST)*

where *XMIN* and *XMAX* are the minimum and maximum, respectively, of a given array and *N* a requested number of grid intervals will return a new minimum and maximum *XMINP* and *XMAXP* such that the range [*XMINP*, *XMAXP*] is the smallest range which will embrace the range [*XMIN*, *XMAX*] and simultaneously result in approximately *N* grid intervals, each of the length *DIST*. Interval *DIST* is selected by *SCALE1* as the product of an integer power of 10 and 1, 2, or 5. *XMINP* and *XMAXP* are integer multiples of *DIST*.

In certain cases the number of plot intervals *N* has to be fixed. In particular, for plots generated by devices with relatively large pen increments, e.g. line printers or teletypewriters, *N* is restricted. For such cases *SCALE2* for linear plots and *SCALE3* for logarithmic plots have to be used.

*SCALE2* with the same arguments as *SCALE1* differs from *SCALE1* in that *XMINP* and *XMAXP* are determined such that exactly *N* grid intervals will result; as a consequence the range

[*XMINP*, *XMAXP*] will in general be less economical than that obtained by *SCALE1*. Parameters *DIST*, *XMINP*, and *XMAXP* will still satisfy requirements specified for *SCALE1*, namely *DIST* will be an integer power of 10 times 1, 2, or 5; and *XMINP* and *XMAXP* will be integer multiples of *DIST*.

*SCALE3* with the same arguments as *SCALE1* will set *XMINP* and *XMAXP* such that *N* logarithmic uniformly spaced grid intervals will cover the range [*XMIN*, *XMAX*]. *DIST* will be the ratio of adjacent grid line values.

*SCALE3* selects *DIST* as  $10^{(1/L+K)}$ , where  $K$  and  $L$  are integers and  $1 \leq L \leq 10$ . *XMINP* and *XMAXP* are selected so that  $XMINP = DIST^j$ , and  $XMAXP = DIST^l$  where  $j$  and  $l$  are integers.

Calling *SCALE1*, *SCALE2*, or *SCALE3* will approximately center the range [*XMIN*, *XMAX*] between *XMINP* and *XMAXP*. *SCALE1*, having determined *DIST*, selects the most economical limits, i.e.  $(XMIN - DIST) < XMINP \leq XMIN$  and  $XMAX \leq XMAXP < (XMAX + DIST)$ . *SCALE2* and *SCALE3* select limits to minimize  $(XMAXP - XMAX)$  and  $(XMIN - XMINP)$  without necessarily satisfying the previous inequalities, but subject to the constraints of a fixed number of intervals.

The actual number of intervals  $N_a$ , determined from the outputs returned by *SCALE1* is as follows:

$$N_a = (XMAXP - XMINP)/DIST.$$

$N_a$  may be slightly larger or smaller than *N* as shown by the following inequality:

$$(N/\sqrt{2.5}) < N_a < (N \times \sqrt{2.5} + 2).$$

$N_a$  will always equal *N* if *SCALE2* or *SCALE3* is called.

*Round-off considerations.* The three algorithms compensate for the computer round-off to assure that *XMIN* and *XMAX* are within the range [*XMINP*, *XMAXP*]. A normalized parameter *DEL* is introduced to serve as a narrow gate around the minimum *XMIN* and the maximum *XMAX* to avoid an unnecessarily large range [*XMINP*, *XMAXP*] caused by computer round-off. For example, if *DEL* = 0.0001, *N* = 3 and *SCALE1* or *SCALE2* is called, *XMINP* of 1.0 and *XMAXP* of 4.0 will result for  $0.9999 < XMIN \leq 1.0001$  and  $3.9999 \leq XMAX < 4.0001$ . *DEL* is normalized to the interval size and should satisfy the following inequality:

$$A < DEL < (B \times N)/C,$$

where *A* is the round-off expected from a division and float operation, *B* is the minimum increment of the plotting device in inches, *N* is the number of intervals on the plot, and *C* is the plot size in inches. For example, using single precision *REAL\*4* variables (IBM 360):  $A \sim 0.0000002$ ; for a precision flat bed plotter:  $B = 0.002$ ,  $C = 50.0$ . Assuming *N* = 10 the following inequality is obtained:

$$0.0000002 < DEL < 0.0004.$$

It is obvious from this inequality that in practical cases the range of permissible values of *DEL* is so large that *DEL* is quite insensitive to the type of plotter and the type of computer used.

### Examples

<i>SCALE1</i>							Actual No. of Intervals
<i>XMIN</i>	<i>XMAX</i>	<i>N</i>	<i>XMINP</i>	<i>XMAXP</i>	<i>DIST</i>		
-3.1	11.1	5	-4.0	12.0	2.0	8	
	5.2	5	5.0	11.0	1.0	6	
-12000	-100	9	-12000	0	1000	12	
<i>SCALE2</i>							Actual No. of Intervals
<i>XMIN</i>	<i>XMAX</i>	<i>N</i>	<i>XMINP</i>	<i>XMAXP</i>	<i>DIST</i>		
-3.1	11.1	5	-5.0	20.0	5.0	5	
	5.2	5	4.0	14.0	2.0	5	
-12000	-100	9	-14000	4000	2000	9	

SCALE 3

Actual  
No. of  
Intervals

XMIN	XMAX	N	XMINP	XMAXP	DIST	
1.8	125.0	10	1.58	158.49	1.58	10
					(=√10)	
0.1	10.0	2	0.1	10.0	10.0	2
0.1	1500.0	4	0.077	2154.4	12.92	4
					(=10 <sup>(1+1/4)</sup> )	

Algorithm

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SUBROUTINE SCALE1(XMIN, XMAX, N, XMINP, XMAXP, DIST)
C ANSI FORTRAN
C GIVEN XMIN,XMAX AND N SCALE1 FINDS A NEW RANGE XMINP AND
C XMAXP DIVISIBLE INTO APPROXIMATELY N LINEAR INTERVALS
C OF SIZE DIST
C VINT IS AN ARRAY OF ACCEPTABLE VALUES FOR DIST (TIMES
C AN INTEGER POWER OF 10)
C SQR IS AN ARRAY OF GEOMETRIC MEANS OF ADJACENT VALUES
C OF VINT, IT IS USED AS BREAK POINTS TO DETERMINE
C WHICH VINT VALUE TO ASSIGN TO DIST
    DIMENSION VINT(4), SQR(3)
    DATA VINT(1), VINT(2), VINT(3), VINT(4)/1., 2., 5., 10./
    DATA SQR(1), SQR(2), SQR(3)/.414214, 3.162278, 7.071068/
C CHECK WHETHER PROPER INPUT VALUES WERE SUPPLIED
IF (XMIN.LT.XMAX .AND. N.GT.0) GO TO 10
WRITE (6,99999)
99999 FORMAT(34H IMPROPER INPUT SUPPLIED TO SCALE1)
RETURN
C DEL ACCOUNTS FOR COMPUTER ROUND-OFF
C DEL SHOULD BE GREATER THAN THE ROUND-OFF EXPECTED FROM
C A DIVISION AND FLOAT OPERATION, IT SHOULD BE LESS THAN
C THE MINIMUM INCREMENT OF THE PLOTTING DEVICE USED BY
C THE MAIN PROGRAM (IN.) DIVIDED BY THE PLOT SIZE (IN.)
C TIMES NUMBER OF INTERVALS N
    10 DEL = .00002
    FN = N
C FIND APPROXIMATE INTERVAL SIZE A
    A = (XMAX-XMIN)/FN
    AL = ALOG10(A)
    NAL = AL
    IF (A.LT.1.) NAL = NAL - 1
C A IS SCALED INTO VARIABLE NAMED B BETWEEN 1 AND 10
    B = A/10.**NAL
C THE CLOSEST PERMISSIBLE VALUE FOR B IS FOUND
    DO 20 I=1,3
        IF (B.LT.SQR(I)) GO TO 30
    20 CONTINUE
    I = 4
C THE INTERVAL SIZE IS COMPUTED
    30 DIST = VINT(I)*10.**NAL
    FM1 = XMIN/DIST
    M1 = FM1
    IF (FM1.LT.0.) M1 = M1 - 1
    IF (ABS(FLOAT(M1)+1.-FM1).LT.DEL) M1 = M1 + 1
C THE NEW MINIMUM AND MAXIMUM LIMITS ARE FOUND
    XMINP = DIST*FLOAT(M1)
    FM2 = XMAX/DIST
    M2 = FM2 + 1.
    IF (FM2.LT.(-1.)) M2 = M2 - 1
    IF (ABS(FM2+1.-FLOAT(M2)).LT.DEL) M2 = M2 - 1
    XMAXP = DIST*FLOAT(M2)
C ADJUST LIMITS TO ACCOUNT FOR ROUND-OFF IF NECESSARY
IF (XMINP.GT.XMIN) XMINP = XMIN
IF (XMAXP.LT.XMAX) XMAXP = XMAX
RETURN
END

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SUBROUTINE SCALE2(XMIN, XMAX, N, XMINP, XMAXP, DIST)
C ANSI FORTRAN
C GIVEN XMIN,XMAX AND N SCALE2 FINDS A NEW RANGE XMINP AND
C XMAXP DIVISIBLE INTO EXACTLY N LINEAR INTERVALS OF SIZE
C DIST, WHERE N IS GREATER THAN 1
    DIMENSION VINT(5)
    DATA VINT(1), VINT(2), VINT(3), VINT(4), VINT(5)/1., 2.,
    * 5., 10., 20./
C CHECK WHETHER PROPER INPUT VALUES WERE SUPPLIED
IF (XMIN.LT.XMAX .AND. N.GT.1) GO TO 10
WRITE (6,99999)
99999 FORMAT(34H IMPROPER INPUT SUPPLIED TO SCALE2)
RETURN
    10 DEL = .00002
    FN = N
C FIND APPROXIMATE INTERVAL SIZE A
    A = (XMAX-XMIN)/FN
    AL = ALOG10(A)
    NAL = AL
    IF (A.LT.1.) NAL = NAL - 1
C A IS SCALED INTO VARIABLE NAMED B BETWEEN 1 AND 10
    B = A/10.**NAL
C THE CLOSEST PERMISSIBLE VALUE FOR B IS FOUND
    DO 20 I=1,3
        IF (B.LT.(VINT(I)+DEL)) GO TO 30
    20 CONTINUE
    I = 4
C THE INTERVAL SIZE IS COMPUTED
    30 DIST = VINT(I)*10.**NAL
    FM1 = XMIN/DIST
    M1 = FM1
    IF (FM1.LT.0.) M1 = M1 - 1
    IF (ABS(FLOAT(M1)+1.-FM1).LT.DEL) M1 = M1 + 1
C THE NEW MINIMUM AND MAXIMUM LIMITS ARE FOUND
    XMINP = DIST*FLOAT(M1)
    FM2 = XMAX/DIST
    M2 = FM2 + 1.
    IF (FM2.LT.(-1.)) M2 = M2 - 1
    IF (ABS(FM2+1.-FLOAT(M2)).LT.DEL) M2 = M2 - 1
    XMAXP = DIST*FLOAT(M2)

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C CHECK WHETHER A SECOND PASS IS REQUIRED
    NP = M2 - M1
    IF (NP.LE.N) GO TO 40
    I = I + 1
    GO TO 30
    40 NX = (N-NP)/2
    XMINP = XMINP - FLOAT(NX)*DIST
    XMAXP = XMINP + FLOAT(NX)*DIST
C ADJUST LIMITS TO ACCOUNT FOR ROUND-OFF IF NECESSARY
IF (XMINP.GT.XMIN) XMINP = XMIN
IF (XMAXP.LT.XMAX) XMAXP = XMAX
RETURN
END

SUBROUTINE SCALE3(XMIN, XMAX, N, XMINP, XMAXP, DIST)
C ANSI FORTRAN
C GIVEN XMIN,XMAX AND N, WHERE N IS GREATER THAN 1, SCALE3
C FINDS A NEW RANGE XMINP AND XMAXP DIVISIBLE INTO EXACTLY
C N LOGARITHMIC INTERVALS, WHERE THE RATIO OF ADJACENT
C UNIFORMLY SPACED SCALE VALUES IS DIST
    DIMENSION VINT(11)
    DATA VINT(1), VINT(2), VINT(3), VINT(4), VINT(5), VINT(6),
    * VINT(7), VINT(8), VINT(9), VINT(10), VINT(11)/10., 9.,
    * 8., 7., 6., 5., 4., 3., 2., 1., .5/
C CHECK WHETHER PROPER INPUT VALUES WERE SUPPLIED
IF (XMIN.LT.XMAX .AND. N.GT.1 .AND. XMIN.GT.0.) GO TO 10
WRITE (6,99999)
99999 FORMAT(34H IMPROPER INPUT SUPPLIED TO SCALE3)
RETURN
    10 DEL = .00002
C VALUES ARE TRANSLATED FROM THE LINEAR INTO LOGARITHMIC
C REGION
    XMINL = ALOG10(XMIN)
    XMAXL = ALOG10(XMAX)
    FN = N
C FIND APPROXIMATE INTERVAL SIZE A
    A = (XMAXL-XMINL)/FN
    AL = ALOG10(A)
    NAL = AL
    IF (A.LT.1.) NAL = NAL - 1
C A IS SCALED INTO VARIABLE NAMED B BETWEEN 1 AND 10
    B = A/10.**NAL
C THE CLOSEST PERMISSIBLE VALUE FOR B IS FOUND
    DO 20 I=1,9
        IF (B.LT.(10./VINT(I)+DEL)) GO TO 30
    20 CONTINUE
    I = 10
C THE INTERVAL SIZE IS COMPUTED
    30 DISTL = 10.**NAL+1)/VINT(I)
    FM1 = XMINL/DISTL
    M1 = FM1
    IF (FM1.LT.0.) M1 = M1 - 1
    IF (ABS(FLOAT(M1)+1.-FM1).LT.DEL) M1 = M1 + 1
C THE NEW MINIMUM AND MAXIMUM LIMITS ARE FOUND
    XMINP = DISTL*FLOAT(M1)
    FM2 = XMAXL/DISTL
    M2 = FM2 + 1.
    IF (FM2.LT.(-1.)) M2 = M2 - 1
    IF (ABS(FM2+1.-FLOAT(M2)).LT.DEL) M2 = M2 - 1
    XMAXP = DISTL*FLOAT(M2)
    NP = M2 - M1
C CHECK WHETHER ANOTHER PASS IS NECESSARY
IF (NP.LE.N) GO TO 40
I = I + 1
GO TO 30
    40 NX = (N-NP)/2
    XMINP = XMINP - FLOAT(NX)*DISTL
    XMAXP = XMINP + FLOAT(NX)*DISTL
C VALUES ARE TRANSLATED FROM THE LOGARITHMIC INTO THE LINEAR
C REGION
    DIST = 10.**DISTL
    XMINP = 10.**XMINP
    XMAXP = 10.**XMAXP
C ADJUST LIMITS TO ACCOUNT FOR ROUND-OFF IF NECESSARY
IF (XMINP.GT.XMIN) XMINP = XMIN
IF (XMAXP.LT.XMAX) XMAXP = XMAX
RETURN
END

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